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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Compl te if Known						
Application Number	10/672,688					
Filing Date	September 26, 2003					
First Named Inventor	Irlam					
Art Unit	Unassigned					
Examiner Name	Unassigned					
Attorney Docket Number	68131261 712					

				DOCUMENTS	
Examiner nitials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
Nn	_	^{US-} 6,609,196 B1	08/19/2003	Dickinson III, et al.	
)	^{US-} 6,146,026	11/14/2000	Ushiku	~
	_	^{US-} 5,889,943	03/30/1999	Ji, et al.	
	_	^{US-} 5,619,648	04/08/1997	Canale, et al.	
)	^{US-} 5,627,764	05/06/1997	Schutzman, et al.	
)	^{US-} 5,634,005	05/27/1997	Matsuo	
	-	^{US-} 5,796,948	08/18/1998	Cohen	
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-)	us- 6,301,245 B1	10/09/2001	Luzeski, et al.	
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		FORE	IGN PATENT DOC	JMENTS		
Examiner Cite No.1		Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Π
	Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	The state of the s	Or Relevant Figures Appear	۳۰	
MW		WO 97/27546	07/31/1997	Payne, et al.		
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of | 4

Application Number 10/672688

Filing Date 9/26/2003

First Named Inventor Gordon Irlam et al.

Art Unit 2681

Examiner Name Not yet assigned

Attorney Docket Number 68131261.1101

U. S. PATENT DOCUMENTS Examiner Cite **Document Number** Pages, Columns, Lines, Where **Publication Date** Name of Patentee or Initials* MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Figures Appear Number-Kind Code^{2 (7 known)} ^{US-} 5742668 NM 04-21-1998 Pepe et al. ^{US-} 6574658 06-03-2003 Gabber et al. ^{US-} 6711618 03-23-2004 Danner et al. ^{US-} 6112227 08-29-2000 Heiner et al. ^{US-} 6487586 11-26-2002 Ogilvie et al. ^{US-} 6615258 09-02-2003 Barry et al. ^{US-} 5771355 06-23-1998 Kuzma et al. us- 6868498 03-15-2005 Katsikas us- 6321267 11-20-2001 Donaldson US-US-US. US-US-US-US-US-HS. us-

		FOREI	GN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
	Country Code ³ Number ⁴ Kind Code ⁵ (# known)	MM-DD-YYYY		Or Relevant Figures Appear	1	
MA		WO 00/42747	07-20-2000	Postel Services		
	_	WO 99/67731	12-29-1999	Microsoft Corpo		
_ [, _	_	WO 97/12321	04-03-1997	Trend Micro, In		
4	_	WO 99/65256	12-16-1999	Logica, Inc.		
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Examiner Signature Date Considered -/20/05

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				Application Number	10/672688	
INF	ORMATION	I DIS	CLOSURE	Filing Date	9/26/2003	
STA	TEMENT E	BY A	PPLICANT	First Named Inventor	Gordon Irlam et al.	
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	(Ose as thany sir		ocessary/	Examiner Name	Not yet assigned	·····
Sheet	2	of	4	Attorney Docket Number	68131261.1101	

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
NM	1	CVS.SOURCEFORGE.NET, "Spam Filtering ESMTP Demon", copyright notice dated 2000, publ. at http://cvs.sourceforge.net/viewcvs.py/clocc/clocc/src/donc/smt-p.lisp?rev=1.4.	
NM	2	Bounce Spam Mail, from Albert Yale Software, dated 1997-2000.	
NM	3	CSM Internet Mail Scanner, from CSM-USA, Inc., dated 1999.	
NM	4	CyberSitter AntiSpam, from CyberSitter.com, distributed by Solid Oak Software, circa 1999-2000.	
22	5	DL MailFilter, from DeadLetter and Leem Han Cheong, dated Nov. 1999.	
NN	6	E-Mail Chomper, from Lorenzo Pasqualis, dated 1996-97.	
$N \sim$	7	E-Mail Remover, from Victor Javier, Virtual Network, Inc., Singapore, dated MarJul. 1998, and 1999.	
てら	8	FlameThrower, from Eagle Research, Inc., dated 2000.	
Nin	9	Interceptor, from Grok Development Ltd., dated 1999-2000.	
Nh	10	JOC E-Mail Checker, from JOCSoft and Jose Olice Civit, dated 2000.	

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0000000				Application Number	10/672688
INFO	DRMATION	DIS	CLOSURE	Filing Date	9/26/2003
STA	TEMENT B	BY A	PPLICANT	First Named Inventor	Gordon Irlam et al.
	(lise se many cho	ate ae a	acaesand	Art Unit	2681
(Use as many sheets as necessary)				Examiner Name	Not yet assigned
Sheet	3	of	4	Attorney Docket Number	68131261.1101

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
NM	11	Lyris MailShield, from Lyris, undated.	
W/	12	Quickhead-E, from Danere Software Innovations, dated Mar. 2000.	
Nm	13	Spam Attack Pro, circa 1996-97, from softwiz.com.	-
MM	14	Spam Buster, from Contact Plus Corp., dated 2000.	
NM	15	SpamEater, from High Mountain Software, dated 1997-2000.	
NM	16	BrightMail, from BrightMail, Inc., dated 1997-2000.	
NM	17	Praetor, from Computer Mail Services, Inc., circa 1998-99.	
NM	18	"MsgTo.com Stops Spam Email", web page circa Nov. 19, 1999, from www.applesforhealth.com.	
NM	19	"The Species Filter", by Rafe Needleman, ed., dated Aug. 6, 1999, from www.RedHerring.com.	
M	20	Official Sep. 1999 AUP (Auto Update Program) v5.0 Build 447, Product Update Release, winserver.com.	

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Signature	011	MAIN	/) -		Considered	1	26/	

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449/PTO **Application Number** 10/672688 INFORMATION DISCLOSURE Filing Date 9/26/2003 STATEMENT BY APPLICANT First Named Inventor Gordon Irlam et al. Art Unit 2681 (Use as many sheets as necessary) **Examiner Name** Not yet assigned Sheet **Attorney Docket Number** 4 4 68131261.1101

		NON PATENT LITERATURE DOCUMENTS	
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NM	21	Supplementary European Search Report, EP 01977347 dated April 7, 2005.	
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/672,688			
Filing Date	September 26, 2003			
First Named Inventor	Gordon R. Irlam			
Art Unit	2681			
Examiner Name	Not yet assigned			
Attorney Docket Number	68131261.1101			

			U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (F known)}			Figures Appear
NM		^{US-} 5,742,668	04/21/1998	Pepe et al.	
	_	^{US-} 5,844,969	12/01/1998	Goldman et al.	
	_	^{US-} 5,905,777	05/18/1999	Foladare et al.	
	1	^{US-} 5,937,162	08/10/1999	Funk et al.	
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	1	^{US-} 6,014,429	01/11/2000	LaPorta et al.	
	1	^{US-} 6,023,723	02/08/2000	McCormack et al.	
	_	^{US-} 6,061,718	05/09/2000	Nelson ,	
	7	^{US-} 6,073,165	06/06/2000	Narasimhan et al.	~
	_	^{US-} 6,075,863	06/13/2000	Krishnan et al.	
	_	^{US-} 6,178,331	01/23/2001	Homes et al.	
		^{US-} 6,263,202	07/17/2001	Kato et al.	-
		^{US-} 6,321,267	11/20/2001	Donaldson	
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	_	^{US-} 6,434,601	08/13/2002	Rollins	
	>	^{US-} 6,438,215	08/20/2002	Skladman et al.	

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		Country Code ³ "Number ⁴ "Kind Code ⁸ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	🏻
NM	-	WO 01/46867	06/28/2001	Setnet Corp.		
1		WO 00/49776 A1	08/24/2000	Argo Inter. Ltd		
	<u> </u>	WO 99/06929	02/11/1999	AT&T Corp.		Г
1	_	WO 98/37680 A2	08/27/1998	Intervoice Ltd.		
	_	WO 96/35994	11/14/1996	Compuserve Inc.		
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Filing Date	September 26, 2003	
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		Art Unit	2681	-
	(Use as many sheets as necessary)	Examiner Name	Not yet assigned	
Sheet	2 of 3	Attorney Docket Number	68131261.1101	

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
	No.1	Number-Kind Code ² (# known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
$\lambda \sim$		^{US-} 6,442,589	08/27/2002	Takahashi et al.	
		^{US-} 6,513,045	01/28/2003	Casey et al.	
0		^{US-} 6,654,787	11/25/2003	Aronson et al.	
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	1 0"	NON PATENT LITERATURE DOCUMENTS	
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NM		http://web.archive.org/web/20000815053401/www.brightmail.com/corporate/overview/	i i
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Nm		http://web.archive.org/web/20000816134259/www.antivirus.com/products/email-groupware.htm	

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This collection of Information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08B (08-03) Approved for use through 07/31/2006. OMB 0651-0031

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			Application Number	10/672,688		
INFORMA	TION DIS	CLOSURE	Filing Date	September 26, 2003		
STATEMENT BY APPLICANT		First Named Inventor	Gordon Irlam et al.			
// lee ee	many chaote as so	d	Art Unit	2681		
(Use as many sheets as necessary)		Examiner Name	Not yet assigned			
Sheet 1	of.	1	Attorney Docket Number	68131261.1101		

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
WM	-	International Search Report, Form PCT/ISA/210 dated 14 April 2004, PCT Application No. PCT/US03/04757	
	·		
Examiner	14	Date Tip Giral	

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ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

> Title of Invention

VALUE-ADDED ELECTRONIC MESSAGING SERVICES AND TRANSPARENT IMPLEMENTATION THEREOF USING INTERMEDIATE SERVER

Application Number:

10/672688

Confirmation Number:

9249

First Named Applicant: Attorney Docket Number: 68131261.712

Gordon Irlam

Art Unit:

2681

Search string:

(6317751 or

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
PW	1	6317751	2001-11-13	Yeger et al.			

US Published Applications

Note: Applicant is not required to submit a paper copy of cited US Published Applications

init	Cite.No.	Pub. No.	Date	Applicant	Kind	Class	Subclass
NW	1	20010032095	2001-10-18	Balbach			

Signature ·

Examiner Name	Date
Nelshor	7,26,05